RA-ESG
SOLAR SOLUTIONS

No Sun, no Life...

INVESTOR APPLICATION FORM

RA-ESG.COM
RA-ESG PLC
London, England

TYPE OF APPLICATION

Personal, Joint or Company?

Is this Application in your personal name (or joint names) or is it in the name of a Company, Partnership or Trust?

- ◉ Single person
- ○ Joint Names
- ○ Limited Company
- ○ Limited Partnership
- ○ Limited Liability Partnership
- ○ Trust

Income Bond or Growth Bond?

Are you applying for the Income Bond featuring 12 monthly interest payments of 1.00%, or the Growth Bond returning 15% per annum compounded annually.

- ○ Income Bond
- ◉ Growth Bond

The Currency of your Investment?

- ◉ British Pound
- ○ US Dollar
- ○ Euro

Investment Value in Figures.

Please fill in the investment value in figures in the following manner: "£100,000" (or $, or € as the case may be).

(in figures)

Investment Value in Words.

Please fill in the investment value in words in the following manner: "One hundred thousand Pounds" (or Dollars or Euros as the case may be).

(in words)

Source of Funds.

Please indicate the source of the above-mentioned funds.

- ○ Personal Savings
- ○ Inheritance
- ○ Sale of Business
- ◉ Business Earnings

PERSONAL DETAILS

First Name:
Last Name:
Address:
Town/City:
County/State/Province:
Postcode:
Country:
e-mail:
Telephone:
Mobile:

KNOW YOUR CLIENT / MONEY LAUNDERING

First Person (Personal or Joint Application).

This part must be completed by the applicant (if this application is in a single name), the first named (if in joint names), or the representing director (if in a company name).

I have attached 1 of the following 4 documents as my Proof of Identity:

- ○ Current Passport
- ○ National Insurance Card
- ○ Full National ID Card
- ◉ Drivers Licence

I have attached 1 of the following 4 documents as my Proof of Address:

- ○ Recent Bank Statement
- ○ Recent Utility Bill
- ○ Letter from Tax Office
- ◉ Drivers Licence *(if not used as proof of identity)*

SECOND JOINT APPLICANT PERSONAL DETAILS

Please leave blank if this application is in either a single or company name.

First Name:

Last Name:

Address:

Town/City:

County/State/Province:

Postcode:

Country:

e-mail:

Telephone:

Mobile:

KNOW YOUR CLIENT / MONEY LAUNDERING

Please leave blank if this application is in either a single or company name.

I have attached 1 of the following 4 documents as my Proof of Identity:

- ○ **Current Passport**
- ○ **Full National ID Card**
- ○ **National Insurance Card**
- ◉ **Drivers Licence**

I have attached 1 of the following 4 documents as my Proof of Address:

- ○ **Recent Bank Statement**
- ○ **Letter from Tax Office**
- ○ **Recent Utility Bill**
- ◉ **Drivers Licence**
  (if not used as proof of identity)

DETAILS OF COMPANY

Name of Corporate Entity:

Registration Number:

Date of Incorporation:

Address:

Town/City:

County/State/Province:

Postcode:

Country:

e-mail:

URL:

KNOW YOUR CLIENT / MONEY LAUNDERING

This part must be completed only in the event that this application is made in the name of a company, trust, fund or partnership.

I have attached 1 of the following 4 documents as my Proof of Identity as a Director:

- ○ **Current Passport**
- ○ **Full National ID Card**
- ○ **National Insurance Card**
- ◉ **Drivers Licence**

I have attached 1 of the following 4 documents as my Proof of Address:

- ○ **Recent Bank Statement**
- ○ **Letter from Tax Office**
- ○ **Recent Utility Bill**
- ◉ **Drivers Licence**
  (if not used as proof of identity)

I have attached all 3 of the following documents:

- ○ **Recent Bank Statement for the Company**
- ○ **Recent Utility Bill for the Company**
- ◉ **Certificate of Incorporation of the Company**

INVESTOR CERTIFICATION

Please select your appropriate Investor Category by signing underneath the relevant box.

Self Certified Sophisticated Investor.

I declare that I am a self-certified sophisticated investor for the purposes of the restriction on promotion of non-readily realisable securities.

I understand that this means:

A. **I can receive financial promotions that may not have been approved by a personauthorised by the Financial Conduct Authority,**
B. **the content of such financial promotions may not conform to rules issued by theFinancial conduct Authority,**
C. **by signing this statement I may lose significant rights,**
D. **I may have no right to complain to either of the following;**
   I. **the Financial Conduct Authority; or**
   II. **the Financial Ombudsman Scheme;**

I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because at least one of the following applies:

1. **I am a member of a network or syndicate of business angels and have been so for at least the last six months prior;**
2. **I have made more than one investment in an unlisted company, including via an equity crowdfunding platform, in the two years prior;**
3. **I am working, or have worked in the two years prior, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;**
4. **I am currently, or have been in the two years prior, a director of a company with an annual turnover of at least £1 million.**

I accept that I can lose my property and other assets from making investment decisions based on financial promotions. I am aware that it is up to me seek advice from someone who specialises in advising on investments.

I declare that I am a Self Certified Sophisticated Investor: **Sign**

[ ]

High Net Worth Investor.

I make this statement so that I can receive promotional communications which are exempt from the restriction on promotion of non-readily realisable securities.

The exemption relates to certified high net worth investors and I declare that I qualify as such because at least one of the following applies to me:

A. **I had, throughout the financial year immediately preceding the date below, an annual income to the value of £100,000 or more. Annual income for these purposes does not include money withdrawn from my pension savings (except where the withdrawals are used directly for income in retirement).**
B. **I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include:**
   I. **The property which is my primary residence or any money raised through a loan secured on that property; or**
   II. **Any rights of mine under a qualifying contract of insurance; or**
   III. **c. Any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependents are), or may be, entitled; or**
   IV. **Any withdrawals from my pension savings (except where the withdrawals are used directly for income in retirement).**

I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all of the money or other property invested. I am aware that it is open to me to seek advice from an authorised person who specialises in advising on non-readily realisable securities.

I declare that I am a High Net Worth Investor: **Sign**

[ ]

BANK DETAILS

Bank Name:

Bank Address:

Account Name:

Account Number:

IBAN:

SWIFT/BIC:

Sort Code:

BROKERAGE ACCOUNT

To simplify the investment process, payment of interest instalments, and the refund of the Par Value of the Bonds upon Redemption; RA-ESG is able to form an International Trust and brokerage account to provide protection for you and your family in the future. It can hold your family's assets and cash in a tax-efficient manner for your named beneficiaries.

In the event you would like to receive more information in this regard please simply tick the box below, and our associate Trust formation team will send you the Information Pack.

Please nominate if you have a Trust domicile preference:

- ◯ **Yes, please send me more information.**
- ◯ **No, I am not interested thank you.**

- ◯ **Zurich - Switzerland**
- ◯ **Dubai - United Arab Emirates**
- ◯ **Mauritius**

SIGNING PAGE

First Party - Name:

First Party - Signature:

Second Party - Name:

Second Party - Signature:

If completing this form in your personal capacity or in a company name, please sign "First Party" only, if in joint names, your partner should also sign "Second Party".

By my/our signatures hereto, I/we attest that all of the information provided by me/us in this Application Form, it true and accurate to the best of my/our belief. I have received, read and understood both the Executive Summary of the business model and the Investment Memorandum/ Bond Document (a copy of which is available on the RA-ESG PLC website: ra-esg.com) and I agree to be bound by the terms and conditions therein contained.

CONTACT US

Thank you for your time and trust in RA-ESG PLC. Should you have any further questions regarding your application, the Bonds, or any other legal, financial or business related enquiries, please do not hesitate to contact us via any of the methods shown below.

Registered Office

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